

Circular No.: NSDL/POLICY/2021/0089

August 23, 2021

**Subject: Surveillance Obligations for Depository Participants.**

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2021/0072 dated July 15, 2021 regarding Surveillance Obligations for Depository Participants wherein Participants were required to confirm compliance regarding framing of Surveillance Policy on or before August 14, 2021 to NSDL.

Considering the representations received from Participants and in consultation with other depository, it has been decided to allow Participants to submit the confirmation regarding framing of Surveillance Policy, on or before September 15, 2021. The Participants can get Surveillance Policy approved from their respective board in the next Board Meeting after September 15, 2021. Other guidelines and timelines as prescribed in the aforesaid circular shall remain unchanged.

Participants are accordingly advised to take note of the above and ensure compliance.

For and on behalf of

**National Securities Depository Limited****Chirag Shah****Senior Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015