



National Stock Exchange of India Limited

Circular

DEPARTMENT: II	NSPECTION
Download Ref No: NSE/INSP/51772	Date: March 25, 2022
Circular Ref. No: 22/2022	

To All Members,

Sub: Revision in Contract Note Format

This has reference to Exchange Circular NSE/INSP/35036 dated June 05, 2017, NSE/INSP/39158 dated October 15, 2018 and NSE/INSP/45879 dated September 30, 2020 wherein the format of Contract Note Cum Tax Invoice had been prescribed.

In accordance with SEBI Circular SEBI/HO/MRD2/DCAP/P/CIR/2021/628 dated September 07, 2021 regarding Introduction of T+1 rolling settlement on an optional basis, the format of Contract Notes has been revised. Accordingly, Members opting to send "Contract Note Cum Tax Invoice" shall send the contract note in the format enclosed as **Annexure – A** and Members opting to send the Contract Note and the tax invoice separately shall send the contract note in the format enclosed as **Annexure-B**.

All Members are advised to take note of the above and comply.

For and on behalf of National Stock Exchange of India Limited

Naresh Sawana Senior Manager – Inspection



National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID	CONTACT NO.			
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632			
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17			
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46			
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400411 / 405			
Mumbai (WRO)	compliance_wro@nse.co.in	Board Line: 022-25045000 / 022-61928200 Direct Line: 022-25045138 / 022-25045144 Extn: 28144/28138			
Central Help Desk	compliance_assistance@nse.co.in				

CONTRACT NOTE CUM TAX INVOICE

(Tax Invoice under Section 31 of GST Act)

NAME OF THE MEMBER, LOGO OF THE MEMBER SEBI REGISTRATION NO. ADDRESS, TELEPHONE NO, FAX NO AND WEBSITE

NAME OF COMPLIANCE OFFICER HIS/ HER EMAIL & TELEPHONE NO., EMAIL ID FOR INVESTOR COMPLAINT

DEALING OFFICES ADDRESS, TELEPHONE NO, FAX NO

CONTRACT NOTE NO. Invoice Reference Number (IRN)		Name of ClearingCorporation & CM Segment	Name of Clearing Corporation & Segment	Name of Clearing Corporation & Segment
TRADE DATE	SETTLEMENT NO.	Settlement number of T+1 Settlement number of T+	2	
TRADE DATE	SETTLEMENT DATE	Settlement date of T+1 Settlement date of T+2		
Name of the Client Address of the Client State/State Code (Place of supply) PAN of Client UCC of Client Trading Back office code* GST Identification No. of client (if available)	GIVE CLEARIN	IG CORPORATION-WISE / SEGMENTWISE :	SETTLEMENT NO. & DATES	5

	Name of Exchange & Segment			
*Trading/ Back Office Code (If Different from UCC)				

Sir/ Madam,

I / We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No. Name of Ex	Trade Time	Security/ Contract description	Buy (B)/ Sell (S)	Quantity	Gross Rate/ Trade Price Per unit (in foreign currency) ¹	Gross Rate/ Trade Price Per unit (Rs) ²	Brokerage per Unit (Rs)	Net Rate per Unit (Rs)	Closing Rate per Unit (only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs)	Remarks
Desiti	Dla			Silient	I		1		1		1		
		nt Forward in o Where applica											
Trade 1													
Trade 2													
Trade N													
		Name of Ex	change & Se	gment	•								
		nt Forward in o Where applica											
Trade 1													
Trade 2													
Trade N													

		Segment	Segment	Segment	Segment	Segment	Segment	TOTAL (Net)
Settlement Numb	ber							
PAY IN/ PAY OUT	OBLIGATION							
Taxable value of	supply ³							
Securities Transa	ction Tax (Rs.)							
Commodities Tra	nsaction Tax (Rs.)							
CCCT 4	Rate							
CGST ⁴	Amount (Rs.)							
SGST ⁴	Rate							
3031 -	Amount (Rs.)							
IGST ⁴	Rate							
1031	Amount (Rs.)							
UTT ⁴	Rate							
	Amount (Rs.)							
	ction Charges (Rs.)							
SEBI turnover Fees. (Rs.)								
Stamp Duty (Rs.)								
Net amount receivable by Client / (payable by Client) (Rs.)								

¹ Applicable only in case of cross currency contracts

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitrationand Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for MSEI.

Date: Place:	Yours faithfully,
QR Code	For(Name of Trading Member)
	PAN of Trading Member
	GSTIN of Trading Member
	Description of Service
	Accounting code of services

Name & Signature/Digital Signature of Partner / Proprietor / Authorized Signatory

² To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts

³ To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time

⁴ CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.

CONTRACT NOTE

NAME OF THE MEMBER, LOGO OF THE MEMBER SEBI REGISTRATION NO. ADDRESS, TELEPHONE NO, FAX NO AND WEBSITE

NAME OF COMPLIANCE OFFICER HIS/ HER EMAIL & TELEPHONE NO., EMAIL ID FOR INVESTOR COMPLAINT

DEALING OFFICES ADDRESS, TELEPHONE NO, FAX NO

CONTRACT NOTE NO.			ring Corporation Segment	Name of Clearing Corporation & Segment	Name of Clearing Corporation & Segment
TRADE DATE	SETTLEMENT NO.	Settlement	Settlement		
		number of T+1	number of T+2		
	SETTLEMENT DATE	Settlement date of	of Settlement date of		
		T+1	T+2		
Name of the Client	GIVE CLEARING	CORPORATION-WIS	SE / SEGMENTWISE SE	TTLEMENT NO. & DATES	
Address of the Client					
State/State Code (Place of supply)					
PAN of Client					
UCC of Client					
Trading Back office code*					
GST Identification No. of client (if available)					

	Name of Exchange & Segment			
*Trading/ Back Office Code (If Different from UCC)				

Sir/ Madam,

I / We have this day done by your order and on your account the following transactions:

Order No.	Order Time	Trade No.	Trade Time	Security/ Contract description	Buy (B)/ Sell (S)	Quantity	Gross Rate/ Trade Price Per unit (in foreign currency) 1	Gross Rate/ Trade Price Per unit (Rs) ²	Brokerage per Unit (Rs)	Net Rate per Unit (Rs)	Closing Rate per Unit (only for Derivatives) (Rs.)	Net Total (Before Levies) (Rs)	Remarks
		Name of Ex	change & Se	egment									
		it Forward in Where applica											
Trade 1													
Trade 2													
Trade N													
		Name of Ex	change & Se	egment	•	•	-	•					•
		t Forward in Where applica											
Trade 1													
Trade 2													
Trade N													

		Segment	Segment	Segment	Segment	Segment	Segment	TOTAL (Net)
Settlement Numb								
PAY IN/ PAY OUT	OBLIGATION							
Taxable value of	supply ³							
Securities Transa	ction Tax (Rs.)							
Commodities Tra	nsaction Tax (Rs.)							
CCCT 4	Rate							
CGST ⁴	Amount (Rs.)							
CCCT 4	Rate							
SGST ⁴	Amount (Rs.)							
ICCT 4	Rate							
IGST ⁴	Amount (Rs.)							
LITT 4	Rate							
UTT ⁴	Amount (Rs.)							
Exchange Transaction Charges (Rs.)								
SEBI turnover Fees. (Rs.)								
Stamp Duty (Rs.)								
Net amount receivable by Client / (payable by Client) (Rs.)								

¹ Applicable only in case of cross currency contracts

Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitrationand Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for BSE and www.msei.in for MSEI.

Date: Place:	Yours faithfully,
	For(Name of Trading Member)
	PAN of Trading Member
	GSTIN of Trading Member

Name & Signature/Digital Signature of Partner / Proprietor / Authorized Signatory

² To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts

³ To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time

⁴ CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.