

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/51772	Date: March 25, 2022
Circular Ref. No: 22/2022	

To All Members,

Sub: Revision in Contract Note Format

This has reference to Exchange Circular NSE/INSP/35036 dated June 05, 2017, NSE/INSP/39158 dated October 15, 2018 and NSE/INSP/45879 dated September 30, 2020 wherein the format of Contract Note Cum Tax Invoice had been prescribed.

In accordance with SEBI Circular SEBI/HO/MRD2/DCAP/P/CIR/2021/628 dated September 07, 2021 regarding Introduction of T+1 rolling settlement on an optional basis, the format of Contract Notes has been revised. Accordingly, Members opting to send "Contract Note Cum Tax Invoice" shall send the contract note in the format enclosed as **Annexure – A** and Members opting to send the Contract Note and the tax invoice separately shall send the contract note in the format enclosed as **Annexure-B**.

All Members are advised to take note of the above and comply.

For and on behalf of
National Stock Exchange of India Limited

Naresh Sawana
Senior Manager – Inspection

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID	CONTACT NO.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400411 / 405
Mumbai (WRO)	compliance_wro@nse.co.in	Board Line: 022-25045000 / 022-61928200 Direct Line: 022-25045138 / 022-25045144 Extn: 28144/28138
Central Help Desk	compliance_assistance@nse.co.in	

	Segment	Segment	Segment	Segment	Segment	Segment	TOTAL (Net)
Settlement Number							
PAY IN/ PAY OUT OBLIGATION							
Taxable value of supply ³							
Securities Transaction Tax (Rs.)							
Commodities Transaction Tax (Rs.)							
CGST ⁴	Rate						
	Amount (Rs.)						
SGST ⁴	Rate						
	Amount (Rs.)						
IGST ⁴	Rate						
	Amount (Rs.)						
UTT ⁴	Rate						
	Amount (Rs.)						
Exchange Transaction Charges (Rs.)							
SEBI turnover Fees. (Rs.)							
Stamp Duty (Rs.)							
Net amount receivable by Client / (payable by Client) (Rs.)							

¹ Applicable only in case of cross currency contracts

² To be converted into INR, based on RBI reference rate as on the date of transaction, in case cross currency contracts

³ To be calculated in accordance with the provisions of the applicable GST Laws issued from time to time

⁴ CGST:-Central GST; SGST: - State GST; IGST:-Integrated GST; UTT: - Union Territory Tax. Details of trade-wise levies shall be provided on request.

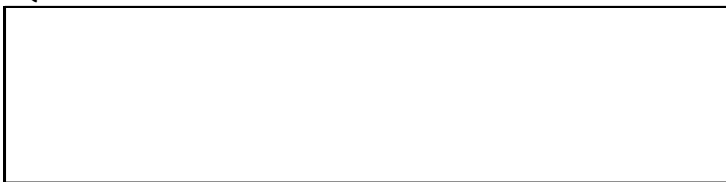
Transactions mentioned in this contract note cum bill shall be governed and subject to the Rules, Bye-laws, Regulations and Circulars of the respective Exchanges on which trades have been executed and Securities and Exchange Board of India issued from time to time. It shall also be subject to the relevant Acts, Rules, Regulations, Directives, Notifications, Guidelines (including GST Laws) & Circulars issued by SEBI / Government of India / State Governments and Union Territory Governments issued from time to time. The Exchanges provide Complaint Resolution, Arbitration and Appellate arbitration facilities at the Regional Arbitration Centres (RAC). The client may approach its nearest centre, details of which are available on respective Exchange's website. Please visit www.nseindia.com for NSE, www.bseindia.com for BSE and www.msei.in for MSEI.

Date:

Place:

Yours faithfully,

QR Code



For _____ (Name of Trading Member)

PAN of Trading Member	
GSTIN of Trading Member	
Description of Service	
Accounting code of services	

Name & Signature/Digital Signature of Partner / Proprietor / Authorized Signatory

	Segment	Segment	Segment	Segment	Segment	Segment	TOTAL (Net)
Settlement Number							
PAY IN/ PAY OUT OBLIGATION							
Taxable value of supply ³							
Securities Transaction Tax (Rs.)							
Commodities Transaction Tax (Rs.)							
CGST ⁴	Rate						
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SGST ⁴	Rate						
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Date:

Place:

Yours faithfully,

For _____(Name of Trading Member)

PAN of Trading Member	
GSTIN of Trading Member	

Name & Signature/Digital Signature of Partner / Proprietor / Authorized Signatory