

Circular No.: NSDL/POLICY/2022/151

November 01, 2022

Subject: The Financial Action Task Force (FATF) Public statement on jurisdictions on call for action and increased monitoring - October 2022 Plenary.

Participants are hereby informed that as part of the on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies, FATF has released Public Statements after October 2022 Plenary.

The link to these public statements on FATF's website is as follows:

<https://www.fatf-gafi.org/publications/high-risk-and-other-monitored-jurisdictions/documents/call-for-action-october-2022.html>

<https://www.fatf-gafi.org/publications/high-risk-and-other-monitored-jurisdictions/documents/increased-monitoring-october-2022.html>

Also, it is highlighted that FATF now also identifies the Democratic Republic of the Congo, Mozambique, and Tanzania under increased monitoring, while Pakistan and Nicaragua are no more subject to increased monitoring. Further, the FATF calls on its members and other jurisdictions to apply enhanced due diligence measures proportionate to the risk arising from Myanmar.

Participants are requested to take note of the above and ensure compliance. Further, Participants are requested to take note that this does not preclude regulated entities from legitimate trade and business transactions with these countries and jurisdictions.

For and on behalf of
National Securities Depository Limited

**Arockiaraj
Manager**

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Internal/ Concurrent Audit Report (April - September)	November 15th every year	Through e-PASS	Circular No.: NSDL/POLICY/2022/136 dated October 03, 2022

