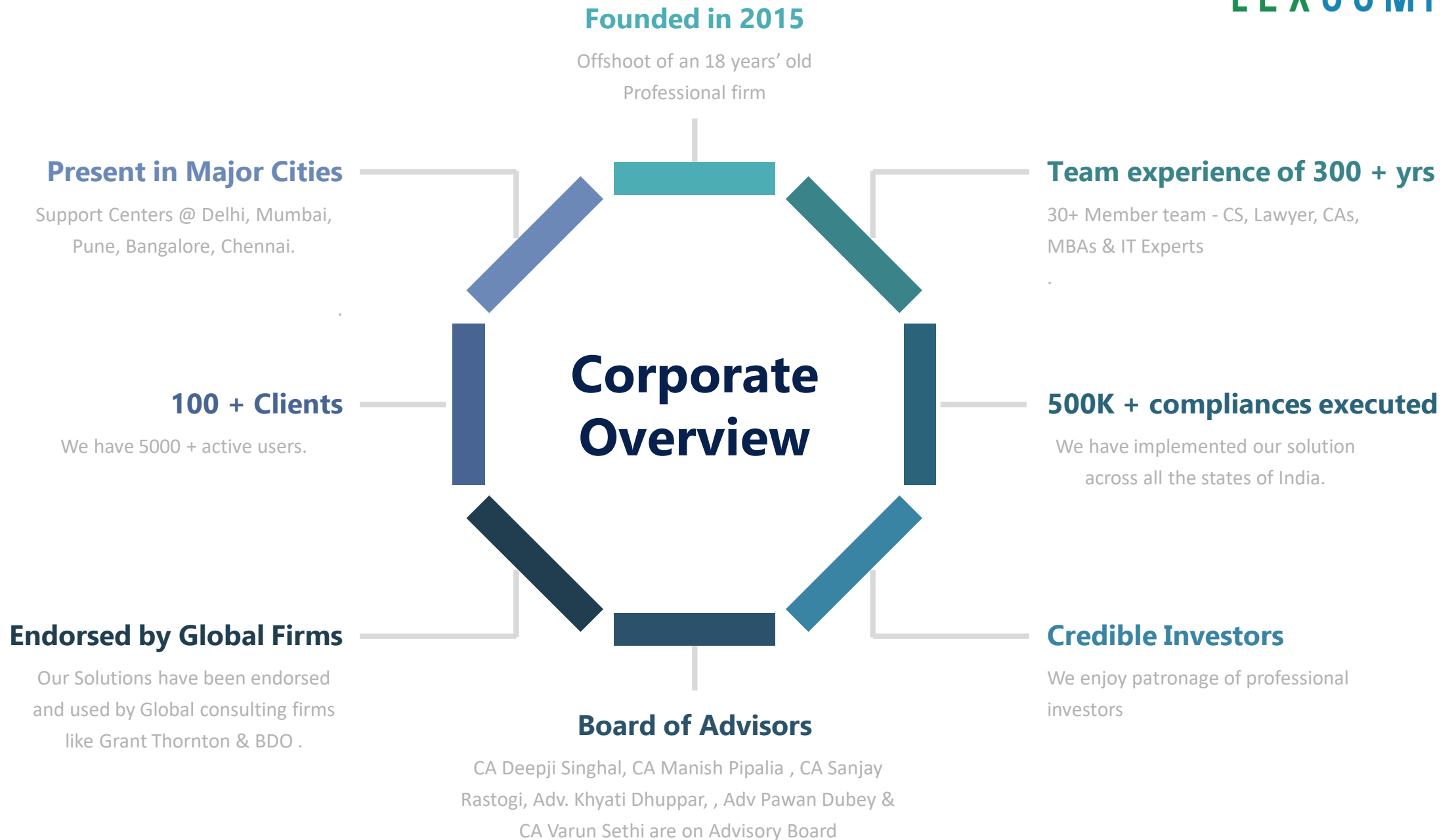




Compliance, Risk and Governance System





# Key Persons



## CS Gaurav Jain : Founder

- Company Secretary with 18+ yrs experience having expertise Corporate Law Compliance, FIPB, Due Diligence, VC Funding, JVs. He drives product innovation, delivery & client services.



## CA Pooja Agarwal : Co-Founder

- ICSI, ICAI, IFRS Compliance with 18+ Yrs Experience in Financial Management and Audits. Responsible for Accounting, Budgeting & Finance



## Thomas Abraham : Co- Founder

- 30+ yrs in FinTech, Telecom, Publishing & IT. Led Global Teams as MD of Wolters Kluwer & Sage having expertise in Vision & Strategy, Business Transformation and M&A. Drives strategic initiatives



## Niraj Kumar : Head Growth & Strategy

- 22+ years of experience in IT as a Sales Leader and worked with Software leaders Oracle, Adobe, Dimension Data & Microsoft to manage their business in Education, Healthcare and Enterprise Segment. Drives marketing and Sales



## Divesh Kamboj: CTO

- Seasoned IT professional with over 18 years of rich experience in Enterprise, Product and Web technologies across India, UK and USA. Proven IT leadership experience from Fortune 500 companies to bootstrap Start-ups delivering client-centric value-driven solutions.



# Key Challenges



**Limited Compliance Knowledge**



**Frequent changes in compliances**



**Multiple locations**



**Limited Skillset & Time**



**Scattered Documentation**



**Tracking dates**

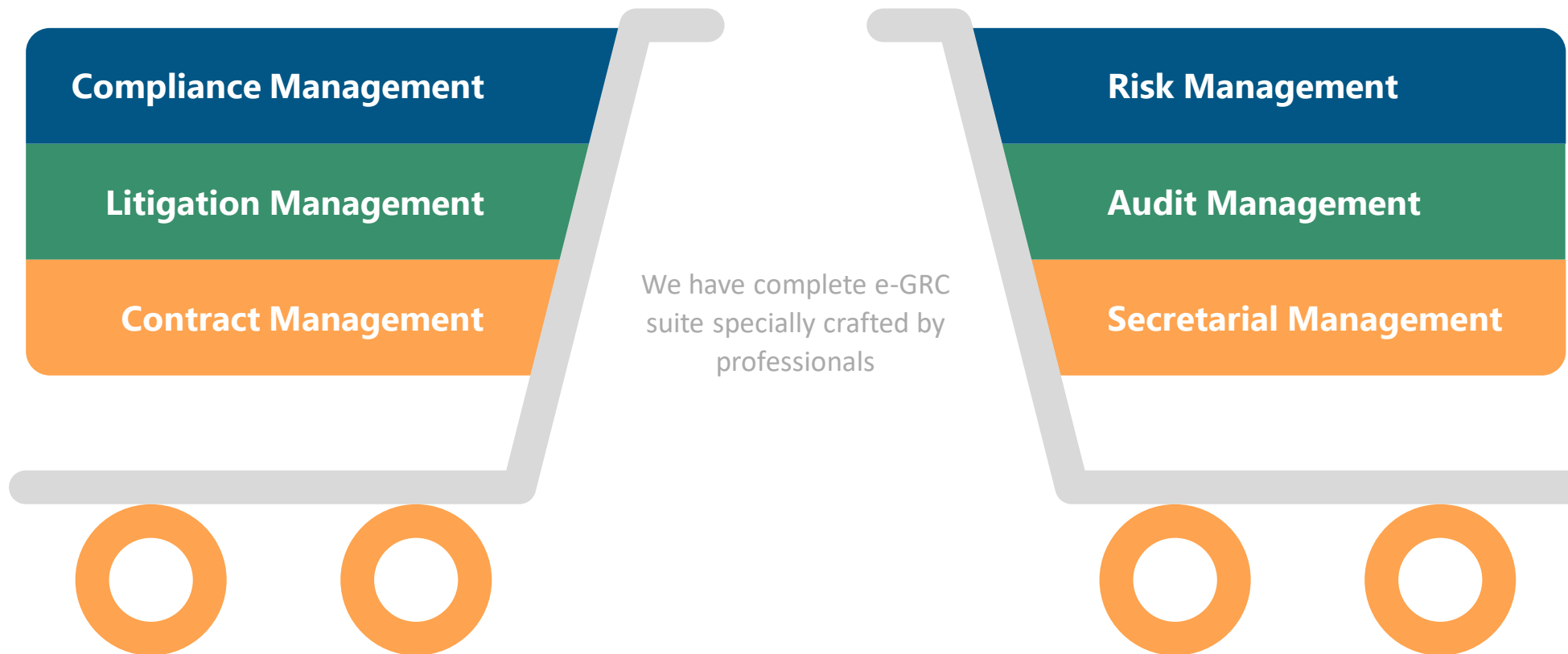


**Disintegrated Departments**



**Third Party obligations**

# Product Portfolio



# On line Portals

Your own compliance Library



## Lexbuddy.com

- Library of 75,000 + compliances
- 1500 + Central and State laws
- Identification of applicable acts and compliances
- Compliance details with exemptions
- Formats of forms in editable version
- Legal updates
- Historical version of compliances



## Registrationsandapprovals.com

- List and details of registrations for 1500 + laws
- Link to government websites
- Format of the forms in editable version •



## Mycorporatedecision.com

- Ability to identify all applicable compliances for 1700+ corporate action



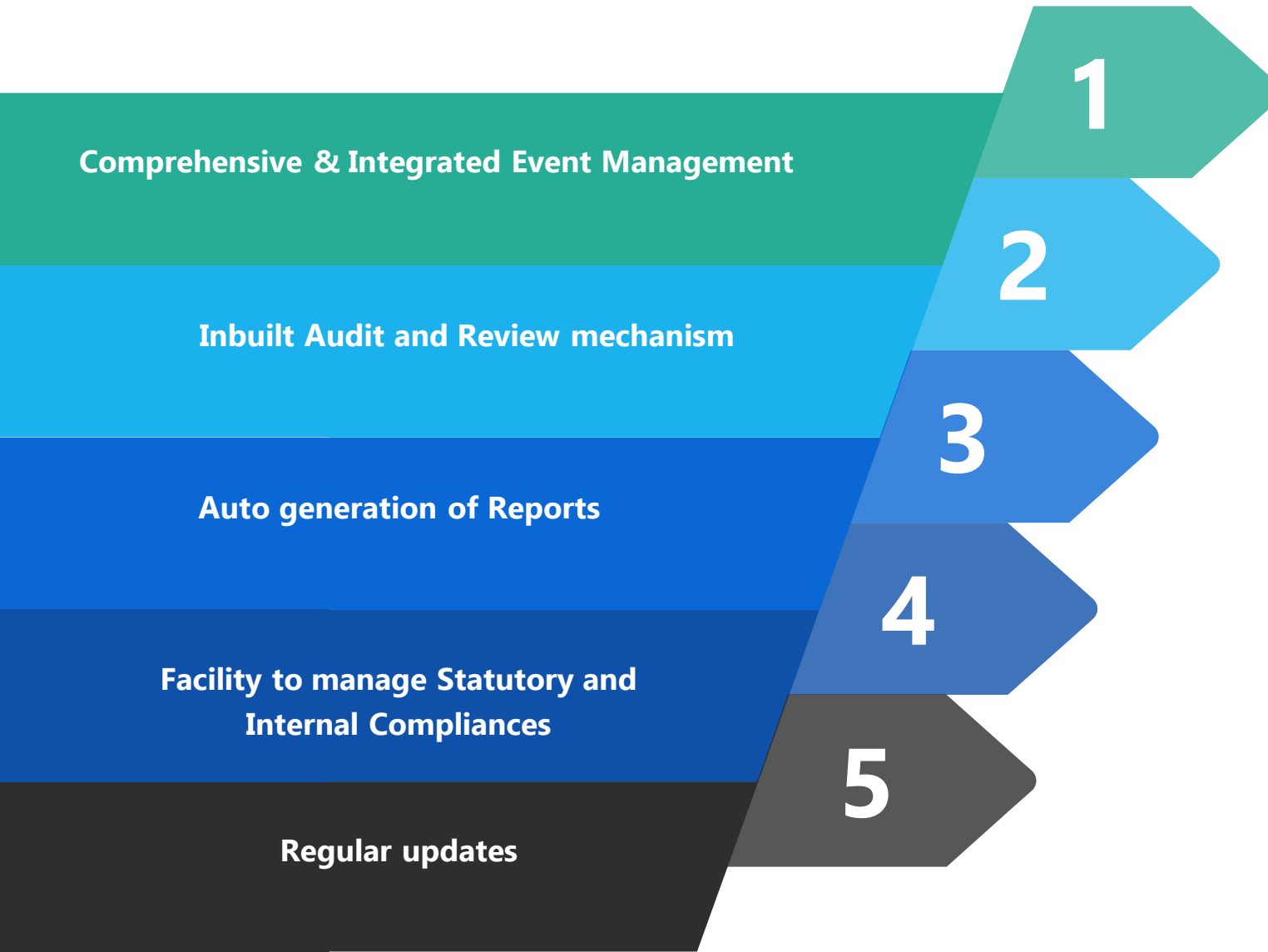
# One Stop Solution

Solution to all the challenges faced by business entities



# Other USPs

Of Compliance Management System



LexComply is one of most comprehensive and advance application which provides for improved Corporate Compliance Environment with better user experience.



Security – Yearly VAPT Audit



Hosting- Cloud & On Premise

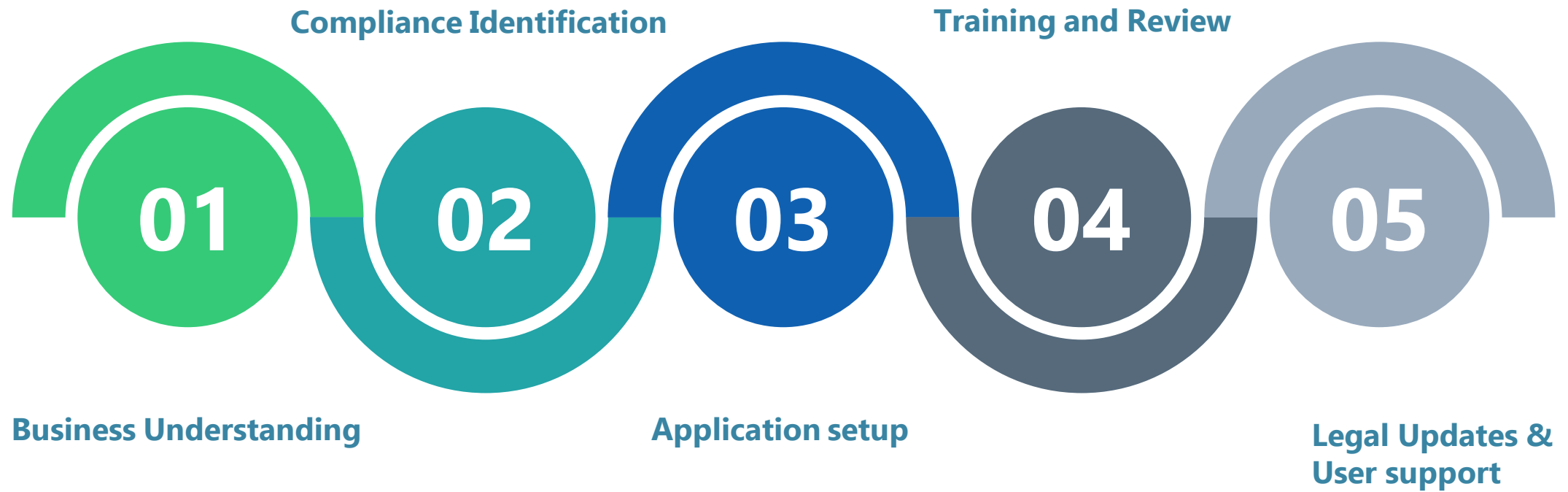


Updates – Auto Sync

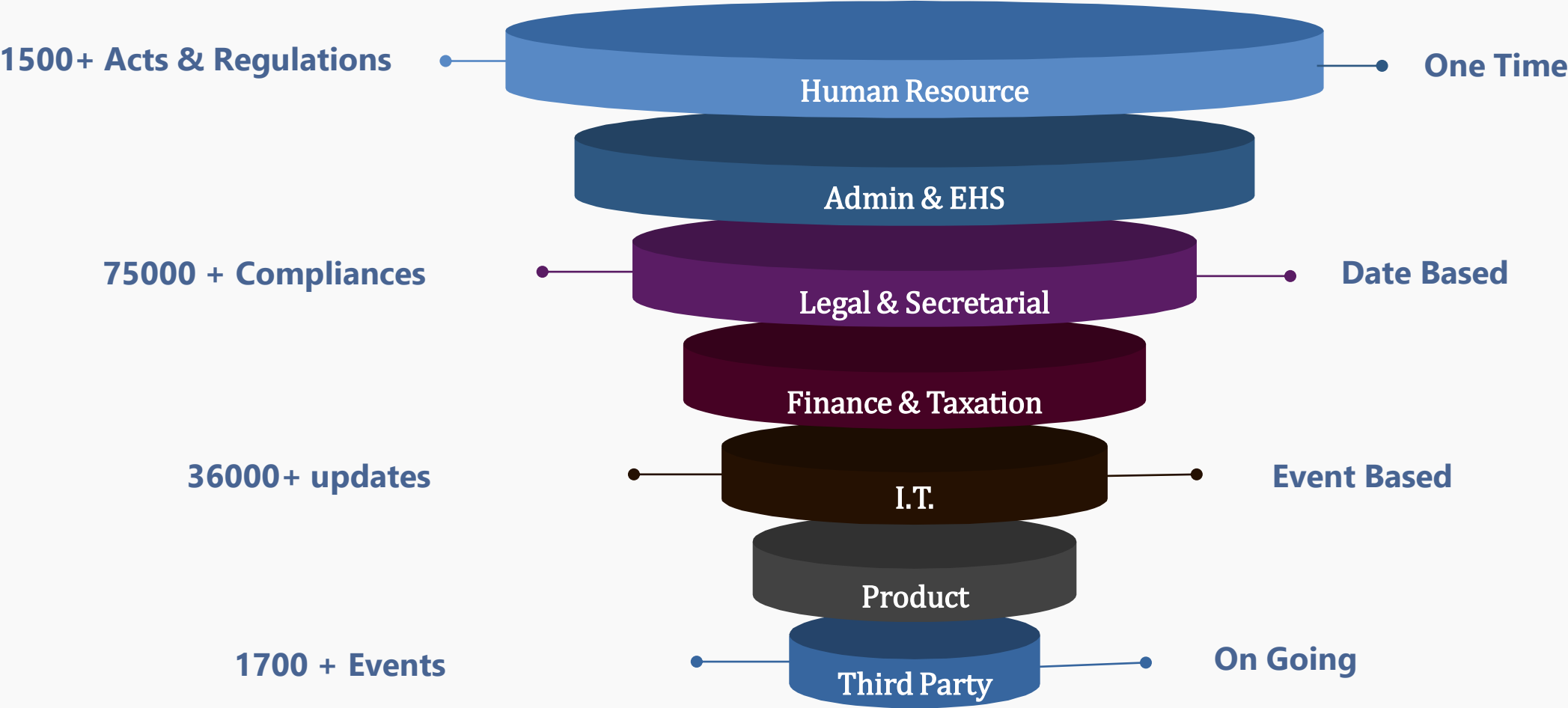


# Process

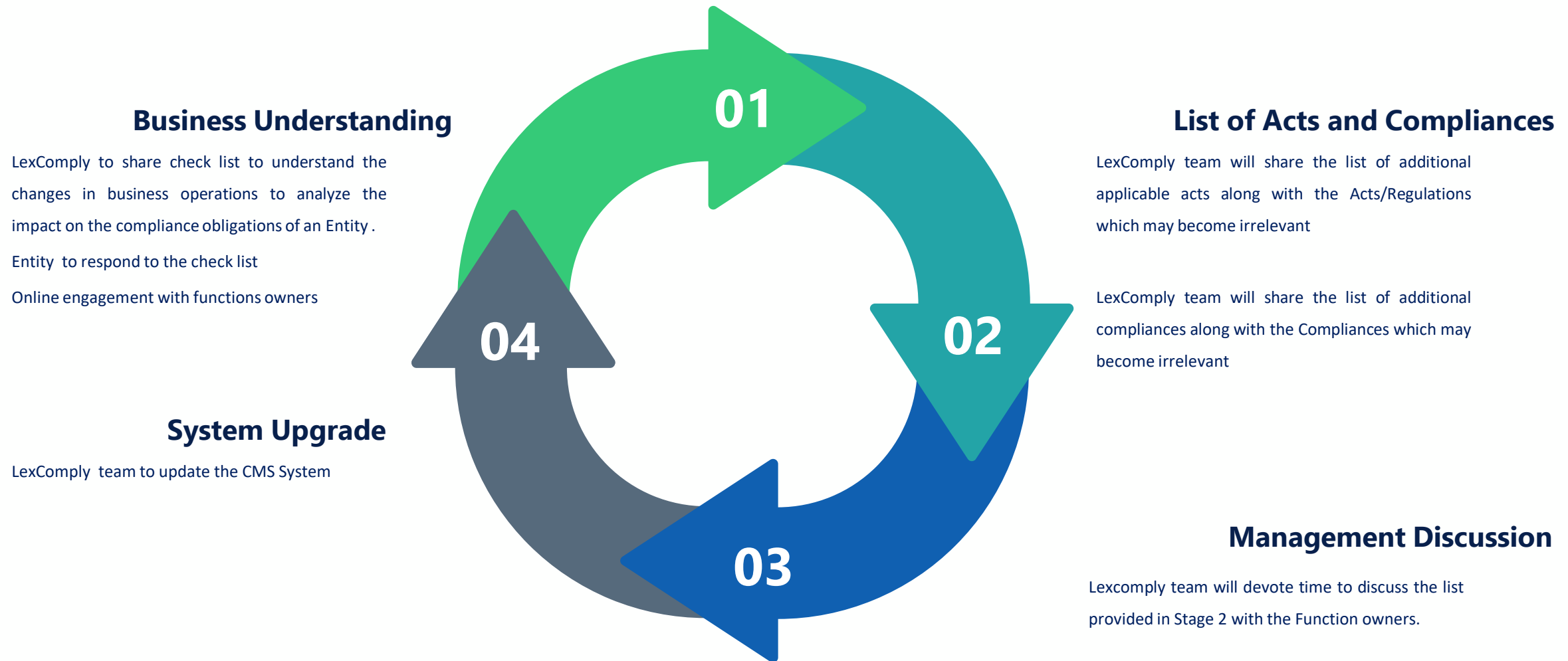
Build , Operate and Transfer



# Comprehensiveness



# Upgrade



# Quality Review Life Cycle

## 02-Gap Assessment

LexComply team will assess the following:

- Correctness of the Proof of Compliance;
- Correctness of the dates; and
- Remarks of the users.

## 03-Report

LexComply will present a report with gaps and corrective measures •

## 04-Management Discussion

LexComply will discuss the report with the Management SpoC along concerned users and finalise the actionables •

## 05-Implementation

Users to implement the findings and report to LexComply •

## 01-Review

LexComply will conduct an online review of all the compliances reported in the compliance System on quarterly basis •



# Dashboards- at a Glance

## Compliance Listing

My Compliances All (Overdue Item Count) 100 Compliances

Due	Compliance Type	All	Status	All	Due						
#	Compliance	Criticality	Compliance Type	Due Date	Status	Department	Location	FPR	Approver	HOD	Action
12345	Record of progress of apprentice to be furnished	Low	Quarterly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
4567	Salary Statement	Low	Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
4568	Salary Statement	Low	Quarterly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
4569	Salary Statement	Low	Half Yearly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
555	Records Cycle for Inward Receipt	High	Half Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
556	Records on Going Check Cycle	High	Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
557	Records on Going Check Cycle	High	Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
558	Records on Going Check Cycle	High	Monthly	30-Apr-2017	Pending At FPR	Human Resource	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
559	Records on Going Check Cycle	High	Monthly	30-Apr-2017	Pending At FPR	Admin	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>
23456	Deposit of Service Tax	High	Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>

OverDue

Type

All

Status

All

Due

#	Compliance	Criticality	Compliance Type	Due Date	Status	Department	Location	FPR	Approver	HOD	Action
1	Compliance	Low	Monthly	30-Apr-2017	Pending At FPR	Legal	India	Owner User (through compliance)	Owner User (through compliance)	Owner User (through compliance)	<a href="#">View</a>

## Administrator / Head Of the Department (HOD) Dashboard



## Search filter

Location Department Act

Due Date From  mm/dd/yyyy Due Date To  mm/dd/yyyy

FPR Approver HoD

STATUS : ☐ Pending At FPR ☐ Pending At Approver ☐ Compliant ☐ Non Compliant ☐ Conditional Compliance ☐ Due ☐ Over Due

AMOUNT : ☐ Yes ☐ No Penalty : ☐ Yes ☐ No Interest : ☐ Yes ☐ No

Search Clear

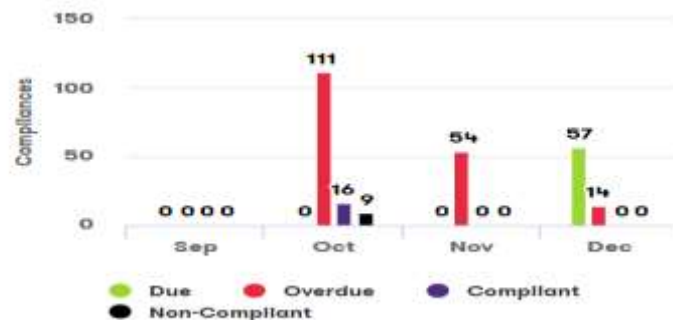
# Dashboards- at a Glance

## Act Wise Compliances

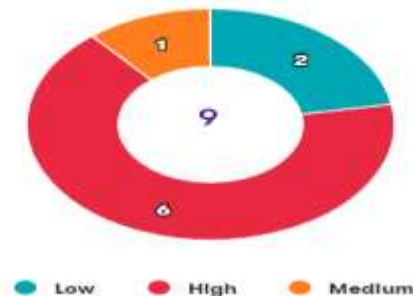
Act	Due	Overdue	Compliant	Non-Compliant
Central Goods and Service Tax Act 2017	12	54	0	3
Companies Act 2013 as amended by Companies (Amendment) Act, 2015 - For Private Companies	0	11	3	0
Employees Provident Fund & Miscellaneous Provisions Act, 1952 & EFP, EPS, EDLI Scheme	4	16	4	0
Haryana Goods and Service Tax, 2017	11	48	1	3
Income Tax Act, 1961 and Income Tax Rules, 1962	1	24	4	1
Maharashtra Shops & Establishments Act, 1948 & Rules, 1961.	0	9	0	1
Punjab shops commercial establishment Act- For Haryana	0	1	3	0
Real Estate (R & D) Act, 2016 Haryana Real Estate (Regulation and Development) Rules, 2017	0	5	0	0
Real Estate (R & D) Act, 2016 Maharashtra Real Estate Regulatory Authority (General) Regulations, 2017	0	0	0	0
SEZ Act, 2005 & The SEZ Rules, 2006 amended in 2010 & 2013- Occupier	0	5	0	1

[View More](#)

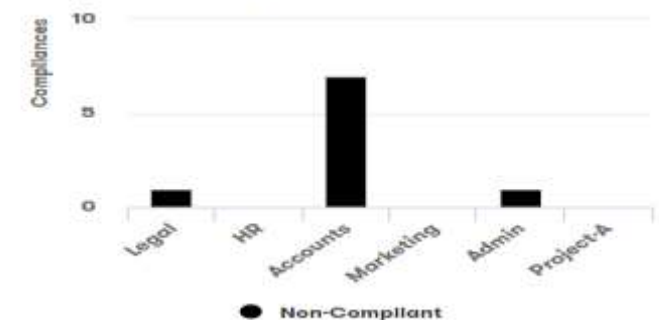
### Compliance Trends



### Non-Compliant Criticality



### Non-Compliant-Department



# 100+ Happy Corporates..!!





**Compliance for a Cause**



**RSJ Lexsys Private Limited| U74140DL2015PTC276522**  
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**Registered Office: 2/11B, Jangpura-A, New Delhi - 110014 (India)**  
**[gaurav@rsj.co.in](mailto:gaurav@rsj.co.in); +91-9212605990;**  
**[www.Lexcomply.com](http://www.Lexcomply.com)**

